SAN JOAQUIN REGIONAL RAIL COMMISSION
TELECONFERENCE BOARD MEETING

September 3, 2021 – 8:00 am

Call-In Information: +1 (646) 749-3335 **Access Code:** 350-638-125
GoToMeeting Link: https://global.gotomeeting.com/join/350638125

SPECIAL NOTICE
Coronavirus COVID-19

**In accordance with the Governor's Executive Order N-29-20, San Joaquin Regional Rail Commission Board Members will be attending this meeting via teleconference or videoconference. Members of the public may observe the meeting by dialing +1 (646) 749-3335 with access code: 350-638-125
or log-in using a computer, tablet or smartphone at GoToMeeting.com using link: https://global.gotomeeting.com/join/350638125**

Please note that all members of the public will be placed on mute until such times allow for public comments to be made. If a person wishes to make a public comment during the meeting, to do so they must either 1) use GoToMeeting and will have the option to notify SJRRC staff by alerting them via the "Chat" function or they can 2) contact SJRRC staff via email at publiccommentssjrrc@acerail.com in which staff will read the comment aloud during the public comment period. Emailed public comments should be limited to approximately 240 words as comments will be limited to two (2) minutes per comment.

This Agenda shall be made available upon request in alternative formats to persons with a disability, as required by the Americans with Disabilities Act of 1990 (42 U.S.C. § 12132) and the Ralph M. Brown Act (California Government Code § 54954.2). Persons requesting a disability related modification or accommodation in order to participate in the meeting should contact San Joaquin Regional Rail Commission (SJRRC) staff, at (209) 944-6220, during regular business hours, at least twenty-four hours prior to the time of the meeting.

All proceedings before the Commission are conducted in English. Anyone wishing to address the SJRRC Board is advised to have an interpreter or to contact SJRRC during regular business hours at least 48 hours prior to the time of the meeting so that SJRRC can provide an interpreter. Any writings or documents provided to a majority of the Commission regarding any item on this agenda will be made available upon request in both English and Spanish for public inspection at the Office of the Executive Director located at 949 East Channel Street, Stockton, California, 95202 during normal business hours or by calling (209) 944-6220. The Agenda is available on the San Joaquin Regional Rail Commission website: www.acerail.com.

1. **Call to Order, Pledge of Allegiance, Roll Call** Chair Fugazi

Roll Call: Hernandez, Mei, Hothi, Miller, Nuño, Young, Vice Chair Zuber, Chair Fugazi

Ex- Officios: Nguyen, Salazar, Zoslocki, Agar

2. **Public Comments**

Persons wishing to address the Commission on any item of interest to the public regarding rail shall state their names and addresses and make their presentation. Please limit presentations to two minutes. The Commission cannot take action on matters not on the agenda unless the action is authorized by Section 54954.2 of the Government Code. Materials related to an item on the Agenda submitted to the Board of Directors after distribution of the agenda packet are available for the public inspection in the Commission Office at 949 E. Channel Street during normal business hours. These documents are also available on the San Joaquin Regional Rail Commission website at https://acerail.com/board-of-directors/ subject to staff's ability to post the documents prior to the meeting.

3. **Consent Calendar**

3.1	Minutes of SJRRC Board Meeting August 6, 2021	**ACTION**
3.2	Rail Commission/ACE Monthly Expenditure	**INFORMATION**
3.3	ACE Monthly Fare Revenue	**INFORMATION**
3.4	ACE Ridership	**INFORMATION**
3.5	ACE On-Time Performance	**INFORMATION**
3.6	Washington Update	**INFORMATION**
3.7	Update on Silicon Valley and Tri-Valley Outreach Contracts Activities	**INFORMATION**

4. **Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving an Agreement with Union Pacific Railroad (UPRR) for Preliminary Engineering Services for the Del Paso Siding Project for an Amount Not-To-Exceed $175,000 and Authorizing the Executive Director to Execute Any and All Documents Related to the Project** **ACTION**
(Dylan Casper) (Regular Voting Members)

5. **Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving an Agreement with O'Dell Engineering for Preliminary Engineering Services for the Ripon Station Building and Offsite Improvements Project for an Amount Not-To-Exceed $1,222,952 and Authorizing the Executive Director to Execute Any and All Documents Related to the Project** **ACTION**
(Autumn Gowan/Dylan Casper) (Regular Voting Members)

6. **Federal Advocacy Program Update** **INFORMATION**
(Sean Winkler)

7. **Commissioner's Comments**

8. **Ex-Officio Comments**

9. **Executive Director's Report**

10. **Adjournment**
The next regular meeting is scheduled for:
October 1, 2021 – 8:00 am

SAN JOAQUIN REGIONAL RAIL COMMISSION
Meeting of September 3, 2021

Item 3.1 **ACTION**

Minutes of SJRRC Board Meeting August 6, 2021

The meeting of the San Joaquin Regional Rail Commission was held at 8:00 a.m., August 6, 2021, via teleconference.

1 Call to Order, Pledge of Allegiance, Roll Call

Chair Fugazi called the meeting to order at 8:00 a.m.

Vice Chair Zuber led the audience in the Pledge of Allegiance.

Commissioners Present: Hernandez, Mei, Hothi, Miller, Nuño, Young, Vice-Chair Zuber, Chair Fugazi

Commissioners Absent: None

Ex-Officio Members Present: Mr. Dumas, Ms. Nguyen, Mr. Baxter, Mr. Zoslocki

2 Public Comments

Shinzei Sochin Tametomo inquired about retaining cab and control cars after midlife overhauls are complete and the overhaul and repainting of locomotives.

Ms. Mortensen and Chair Fugazi responded that the Director of Equipment Services, Brian Schmidt, will be happy to meet with the person inquiring and answer further questions.

3 Consent Calendar

3.1	Minutes of SJRRC Board Meeting July 2, 2021	**ACTION**
3.2	Rail Commission/ACE Monthly Expenditure	**INFORMATION**
3.3	ACE Monthly Fare Revenue	**INFORMATION**
3.4	ACE Ridership	**INFORMATION**
3.5	ACE On-Time Performance	**INFORMATION**
3.6	Washington Update	**INFORMATION**
3.7	Background on Federal Earmark Funding	**INFORMATION**
3.8	Approve 2021 Amendment to the Executive Director Employment Contract dated July 1, 1998 (Regular Voting Members Only)	**ACTION**

M/S/C (Zuber/Young) to Approve items 3.1 – 3.7 of the Consent Calendar as to all voting members and item 3.8 as to regular voting members. Passed and Adopted by the San Joaquin Regional Rail Commission on the 6th day of August 2021 by the following vote to wit:

AYES:	7	Hernandez, Mei, Miller, Nuño, Young, Vice Chair Zuber, Chair Fugazi
NOES:	0	
ABSTAIN:	0	
ABSENT:	1	Hothi

4 Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving Amendment 01 to the Agreement with Siemens Industry, Inc. to Utilize Option Pricing and Order Two (2) New Tier IV AC Traction Passenger Locomotives for an Amount Not-To-Exceed $16,543,608, and Authorizing the Executive Director to Execute Any and All Necessary Documents **ACTION**

Brian Schmidt gave a presentation on this item.

There were no comments on this item.

M/S/C (Young/Zuber) to Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving Amendment 01 to the Agreement with Siemens Industry, Inc. to Utilize Option Pricing and Order Two (2) New Tier IV AC Traction Passenger Locomotives for an Amount Not-To-Exceed $16,543,608, and Authorizing the Executive Director to Execute Any and All Necessary Documents

Passed and Adopted by the San Joaquin Regional Rail Commission on the 6th day of August 2021 by the following vote to wit:

AYES:	5	Miller, Nuño, Young, Vice-Chair Zuber, Chair Fugazi
NOES:	0	
ABSTAIN:	0	
ABSENT:	1	Hothi

5 Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving the Following Consultants to be on the Pre-Qualified On-Call Consultant Lists Related to the North Region of the Valley Rail Program Capital Project Delivery for a Period From August **ACTION**

6, 2021 Through June 30, 2026, and Authorizing the Executive Director to Execute Any and All Documents Related to the Projects:

Rankings	Construction Management Services
1.	WSP USA Inc.
2.	Kleinfelder Construction Services, Inc.
3.	TRC Engineers, Inc.
4.	Ghirardelli Associates, Inc.
5.	MNS Engineers, Inc.

David Ripperda gave a presentation on this item.

There were no comments on this item.

M/S/C (Zuber/Young) to Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving the Following Consultants to be on the Pre-Qualified On-Call Consultant Lists Related to the North Region of the Valley Rail Program Capital Project Delivery for a Period From August 6, 2021 Through June 30, 2026, and Authorizing the Executive Director to Execute Any and All Documents Related to the Projects:

Rankings	Construction Management Services
1.	WSP USA Inc.
2.	Kleinfelder Construction Services, Inc.
3.	TRC Engineers, Inc.
4.	Ghirardelli Associates, Inc.
5.	MNS Engineers, Inc.

Passed and Adopted by the San Joaquin Regional Rail Commission on the 6th day of August 2021 by the following vote to wit:

AYES:	6	Hothi, Miller, Nuño, Young, Vice-Chair Zuber, Chair Fugazi
NOES:	0	
ABSTAIN:	0	
ABSENT:	0	

6 Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving the Following Consultants to be on the Pre-Qualified On-Call Consultant Lists Related to the Central Region of the Valley Rail Program Capital Project Delivery for a Period From August 6, 2021 Through June 30, 2026, and Authorizing the Executive Director to Execute Any and All Documents Related to the Projects: **ACTION**

Rankings	Construction Management Services	Rail Engineering Services
1.	TRC Engineers, Inc.	Pennino Management Group
2.	WSP USA Inc.	RailPros, Inc.
3.	MNS Engineers, Inc.	
4.	Ghirardelli Associates, Inc.	
5.	Pennino Management Group	

David Ripperda gave a presentation on this item.

There were no comments on this item.

M/S/C (Young/Hothi) to Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving the Following Consultants to be on the Pre-Qualified On-Call Consultant Lists Related to the Central Region of the Valley Rail Program Capital Project Delivery for a Period From August 6, 2021 Through June 30, 2026, and Authorizing the Executive Director to Execute Any and All Documents Related to the Projects:

Rankings	Construction Management Services	Rail Engineering Services
1.	TRC Engineers, Inc.	Pennino Management Group
2.	WSP USA Inc.	RailPros, Inc.
3.	MNS Engineers, Inc.	
4.	Ghirardelli Associates, Inc.	
5.	Pennino Management Group	

Passed and Adopted by the San Joaquin Regional Rail Commission on the 6th day of August 2021 by the following vote to wit:

AYES: 6 Hothi, Miller, Nuño, Young, Vice-Chair Zuber, Chair Fugazi

NOES: 0

ABSTAIN: 0

ABSENT: 0

7 Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving the Following Consultants to be on the Pre-Qualified On-Call Consultant Lists Related to the South Region of the Valley Rail Program Capital Project Delivery for a Period From August 6, 2021 Through June 30, 2026, and Authorizing the Executive Director to Execute Any and All Documents Related to the Projects: **ACTION**

Rankings	A. Project Development	B. Right of Way	C. Construction Management	D. Rail Engineering Services
1.	TranSystems Corporation	Paragon Partners Ltd.	TRC Engineers, Inc.	Pennino Management Group
2.	AECOM Technical Solutions, Inc.	Overland, Pacific & Cutler, LLC	WSP USA Inc.	
3.	Mark Thomas & Company, Inc.	Beacon Integrated Professional Resources, Inc., dba Hamner, Jewell & Associates	Kleinfelder Construction Services, Inc.	
4.	SENER Engineering and Systems, Inc.		Ghirardelli Associates, Inc.	
5.	O'Dell Engineering		NV5, Inc.	

David Ripperda gave a presentation on this item.

There were no comments on this item.

M/S/C (Nuño/Miller) to Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving the Following Consultants to be on the Pre-Qualified On-Call Consultant Lists Related to the South Region of the Valley Rail Program Capital Project Delivery for a Period From August 6, 2021 Through June 30, 2026, and Authorizing the Executive Director to Execute Any and All Documents Related to the Projects:

Rankings	A. Project Development	B. Right of Way	C. Construction Management	D. Rail Engineering Services
1.	TranSystems Corporation	Paragon Partners Ltd.	TRC Engineers, Inc.	Pennino Management Group
2.	AECOM Technical Solutions, Inc.	Overland, Pacific & Cutler, LLC	WSP USA Inc.	
3.	Mark Thomas & Company, Inc.	Beacon Integrated Professional Resources, Inc., dba Hamner, Jewell & Associates	Kleinfelder Construction Services, Inc.	
4.	SENER Engineering and Systems, Inc.		Ghirardelli Associates, Inc.	
5.	O'Dell Engineering		NV5, Inc.	

Passed and Adopted by the San Joaquin Regional Rail Commission on the 6th day of August 2021 by the following vote to wit:

AYES: 6 Hothi, Miller, Nuño, Young, Vice-Chair Zuber, Chair Fugazi

NOES: 0

ABSTAIN: 0

ABSENT: 0

8 Commissioner's Comments

There were no Commissioner comments.

9 Ex-Officio Comments

Ms. Nguyen congratulated the Rail Commission on the nomination by Senator Padilla for the federal earmark request for congressional directed spending for the Lathrop Manteca station project, the Rail Commission's RAISE grant submission for the Lathrop Station project and Lathrop Wye, and SJCOG holding it's first in person board meeting this month.

Mr. Dumas commented on the announcement of $1.5 million on LCTOP's program for San Joaquin and Stanislaus County projects, which included the expansion of the midtown corridor for SJRTD, for bringing back ACE service, and for Stanislaus Council of Governments for a free fare program. Mr. Dumas further commented on public hearings for Interregional Transportation Strategic Plan, and the kickoff of the Clean California program. Mr. Dumas gave condolences to the Caltrans worker that was struck and killed while working and reminded the audience to drive carefully and slow down in construction zones.

Ken Baxter with SJRTD commented on National Night Out, SJRTD bus and Van Go! ridership, and the system redesign.

10 Executive Director's Report

Ms. Mortensen reported on an upcoming round of passenger surveys about returning to work, East Bay surveys, a South County study supporting Alameda County and MTC to look at rail opportunities in Southern Alameda County and the Northern part of Silicon Valley. Ms. Mortensen further reported on a report that will be given by Sean Winkler, an agency federal advocate, at the September 3rd board meeting.

11 Adjournment

The meeting was adjourned at 8:33 am.
The next regular meeting is scheduled for:
September 3, 2021 – 8:00 am

San Joaquin Regional Rail Commission
Altamont Corridor Express
Operating and Capital Expense Report
June 2021
100% of Budget Year Elapsed

OPERATING EXPENSES	SJRRC FY 20-21 ALLOCATION	EXPENSE TO DATE	% SPENT TO DATE	SJRRC - CONTRACTED SERVICES FY 20-21 ALLOCATION	EXPENSE TO DATE	% SPENT TO DATE	ACE FY 20-21 ALLOCATION	EXPENSE TO DATE	% SPENT TO DATE
Project Management, Services & Supplies Subtotal	**1,639,567**	**1,435,246**	**88%**	**150,000**	**33,500**	**22%**	**4,690,542**	**2,973,157**	**63%**
Contracted Services Subtotal	**472,133**	**250,409**	**53%**	**1,750,000**	**265,605**	**15%**	**17,138,930**	**14,918,458**	**87%**
Shuttle Services							**1,021,326**	**1,021,326**	**100%**
TOTAL OPERATING EXPENSES	**2,111,700**	**1,685,655**	**80%**	**1,900,000**	**299,105**	**16%**	**22,850,798**	**18,912,941**	**83%**

	CAPITAL PROJECTS	CAPITAL FY 20-21 ALLOCATION	EXPENSE TO DATE	SPENT TO DATE
SAN JOAQUIN RAIL COMMISSION				
1	East Channel Street Improvements	2,209,500	83,465	4%
2	Robert J. Cabral Station Expansion	2,812,203	143,439	5%
3	Non Revenue Maintenance Vehicles	150,000	146,157	97%
TOTAL CAPITAL PROJECT SJRRC		**$ 5,171,703**	**$ 373,062**	**7%**
ALTAMONT CORRIDOR EXPRESS				
1	SJ COG Loan Repayment	1,118,012	1,118,012	100%
2	A1 & A2 Bond Repayment	3,033,988	2,124,576	70%
3	UPRR Capital Access Fee	3,242,516	147,579	5%
4	UPRR Capitalized Maintenance Projects	4,000,000	1,532,814	38%
5	UPRR Safety Fund	500,000	-	0%
6	ACE Extension Natomas to Stockton	4,589,198	388,440	8%
7	ACE Extension Lathrop to Ceres/Merced	10,000,000	8,703,961	87%
8	Stockton Diamond Grade Separation	3,100,000	-	0%
9	Locomotives (4) and (2) options	1,000,000	514,440	51%
10	Platform Extension Projects	3,000,000	9,314	0%
11	Cabral Track Extension	6,196,697	998,848	16%
12	Positive Train Control	1,221,823	181,205	15%
13	Rail Cars - (5) passenger rail cab cars (12) passenger coach cars (4) Option Coach	46,821,981	46,821,981	100%
14	Railcar Midlife Overhaul	6,711,081	3,932,319	59%
15	Locomotive Conversion	239,328	-	0%
16	State Owned Equipment Truck Overhaul	1,000,000	148,374	15%
17	Sunol Quiet Zone Quad Gates	475,000	34,075	7%
18	Facility Upgrades and Capital Improvements	93,068	20,067	22%
19	Lathrop/Manteca Station Improvements	779,700	541,788	69%
20	Tracy ACE Station Improvements	1,223,367	36,674	3%
21	Capital Spares	846,138	402,380	48%
22	Safety/Security Projects	382,115	320,283	84%
23	Short Range Transit Plan	26,123	-	0%
24	WiFi Upgrade	1,130,000	1,130,000	100%
25	Public Information Display Systems (PIDS)	500,000	1,850	0%
26	Network Integration	450,000	296,524	66%
27	Ripon Multimodal Station and Offsite Improvements	434,469	-	0%
28	Locomotive (2) Options	8,524,530	-	0%
TOTAL CAPITAL PROJECTS ACE		**$ 110,639,134**	**$ 69,405,503**	**63%**
TOTAL CAPITAL PROJECTS SJRRC & ACE		**$ 115,810,837**	**$ 69,778,565**	**60%**

Status of FY 20/21 Capital Projects - Notes As of August 2021

SAN JOAQUIN RAIL COMMISSION

1 East Channel Street Improvements - SJRRC received $2 million from the San Joaquin Council of Governments' Measure K funds to improve the pedestrian and bicycle access along Channel Street between the Downtown Transit Center and Cabral Station. SJRRC has begun the environmental clearance and final design for the project, which is anticipated to be completed in 2021. Construction is slated to begin Fall 2021. Projected to be completed by the end of 2022.

2 Robert J. Cabral Parking Lot Expansion -Project will construct a new parking lot at the site of the old Western Pacific depot in Stockton, as well as reconstruct the building. Environmental work has been completed and design is underway for the parking lot and building reconstruction.

3 Non Revenue Maintenance Vehicles - Delivery of the new maintenance vehicles has occurred and the project is complete.

ALTAMONT CORRIDOR EXPRESS

1 SJ COG Loan Repayment - Annual payment made July 1, 2020 per SJCOG amended loan agreement.

2 Bond Repayments - Bond repayments are made bi-annually, payments FY 20-21 are due in October 2020 and April 2021. Payments were made and Bond was Refunded on May 1st 2021.

3 UPRR Capital Access Fee - Payment for calendar year 2021 paid in two installments. First payment to be processed in January 2021 and second payment in May 2021

4 UPRR Capitalized Maintenance Projects - Multi-year project is budgeted at $4,000,000 for the year. Invoices are received throughout the year.

5 UPRR Safety Fund - Ongoing project.

6 ACE Extension Natomas to Stockton - The final Environmental Impact Report (EIR) was adopted at the October 2nd, 2020 Board meeting and the Notice of Determination was filed with San Joaquin County on October 6th, 2020. Consultant contracts for the final design phase were awarded at the August Stations/Facilities Development Committee Meeting. A Notice of Preparation for the Elk Grove Station EIR was released on August 4, 2021 for a 30-day public comment period.

7 ACE Extension Lathrop to Ceres/Merced - The Environmental Impact Report (EIR) was certified in August 2018. Final design is currently underway for individual projects along the corridor. Preparation of a project level EIR for Ceres to Merced is underway. The project level Draft EIR for Ceres to Merced was released for public review on April 22nd, 2021 and comments were due June 7th, 2021. The Final EIR will be presented to the Board for approval in late Summer 2021.

8 Stockton Diamond Grade Separation - The project will grade separate the existing UPRR and BNSF lines creating an uninterrupted flow of rail traffic through the crossing. Project Approval (PA) and Environmental Document (ED) services for the project are currently underway. The Final EIR was adopted by the Board on June 4th, 2021. The California Transportation Commission approved the project for Future Conisderation of Funding at their June 23-24, 2021 meeting and allocate funding for the final design and right of way phases. The final design phase will be presented to the Board for approval at the October Board Meeting.

9 Locomotives (4) - All four (4) locomotives have been delivered and have been fully accepted. The Spare Parts package will be delivered in June. Project Complete.

10 Platform Extension Projects - Multi-year project to extend the existing Lathrop/Manteca, Tracy, Vasco, Livermore, and Pleasanton platforms. A contract for final design was approved in May 2018 and is currently under review by Union Pacific. Environmental and design are currently underway for construction of a 400-foot platform extension at the Fremont ACE Station.

11 Cabral Track Extension - In June, SJRRC received approval from FTA Region 9 for a NEPA Categorical Exclusion. Coordination with Union Pacific on design review is ongoing, with construction anticipated to be presented to the Board for approval at the October Board Meeting. Utility relocation efforts are

12 Positive Train Control - Staff continues to work with UPRR, Caltrain, FRA and all Passenger Rail Partners on software updates and system improvements.

13 Rail Cars - A contract with Bombardier was Approved at the April 2020 SJRRC Board meeting. Additionally, an option order was approved by the Board at the December 2020 meeting for 4 additional coaches. This is Multi-year project for the procurement of five (5) passenger rail cab cars and 16 passenger coach cars. Delivery of the first cars is scheduled for November 2021.

14 Railcar Midlife Overhaul - Midlife overhauls of ACE's existing fleet of 30 railcars is underway. Currently trucks, carpeting, rubber flooring, lighting and refreshing of the exterior paint scheme to the new colors is underway. Three (3) Cars have been painted with the fourth car being prepared for paint. The project is scheduled to be completed over the next three years.

15 Locomotive Conversion - Staff is working with the State of California on a scope of work for the decommissioning and conversion of the two units.

16 State Owned Equipment Truck Overhaul - Phase 1 of the project to procure a float pool to be used for the complete Truck Overhaul program scheduled is underway and scheduled to be closed out by November 2021.
The Second Phase of the project is to complete the overhaul of the 88 State owned bi-level passenger rail cars along with the draft gears and diaphragms. This scope of work is in development.

17 Sunol Quiet Zone - Construction has been completed but to address public concerns, revisions need to be made. Preliminary Design for the revised project, Four Quadrant Gates on Main Street Sunol, is complete. Coordination with Alameda County for the final design and construction of the project is ongoing.

18 Facility Upgrades and Capital Improvements - Currently ongoing

19 Lathrop/Manteca Station Improvements - The construction contract was awarded at the October 2nd, 2020 Board meeting. Construction was completed on May 3rd, 2021.

20 Tracy ACE Station Improvements - Consultant contract was awarded at the May 3rd 2019 Board meeting. Environmental and design are currently underway.

21 Capital Spares - Preventative Maintenance is ongoing.

22 Safety and Security/Video Cameras - The camera security project was awarded in June 2018 and is in construction.

23 Short Range Transit Plan - Update to FY 2018 - FY 2027 SRTP is ongoing.

24 WiFi Upgrade - The WiFi system is fully installed and operational. SJRRC, NOMAD, and Xentrans continue to work on coordinating with the Herzog led ISC technology project to ensure compatibility and integration of the two onboard systems.

25 Public Information Display System (PIDS) - CCJPA has begun the planning of the public works needed for replacement of old reader boards. Surveys have been submitted to them so that they can plan for where the signs shall be placed and also where the power boxes will be installed that will allow connectivity for the reader boards. Xenatech, the vendor that CCJPA has chosen to work with for the programming and creation of the Operator's Console which will push information to the reader boards, has put out several different UAT for testing; the bi-weekly scrum calls have concluded at this time. Information that is required by CCJPA/Xenatech is communicated directly to agencies working on this project. Onboard Nomad Wi-Fi services is now connected to the Operator's Console for real-time placement of trains for the functionality of that portion in the maps locator. A call will happen before the end of August to speak to Xenatech and CCJPA about the inclusion of the project to include future ACE stations that are slated to be in service by 2023.

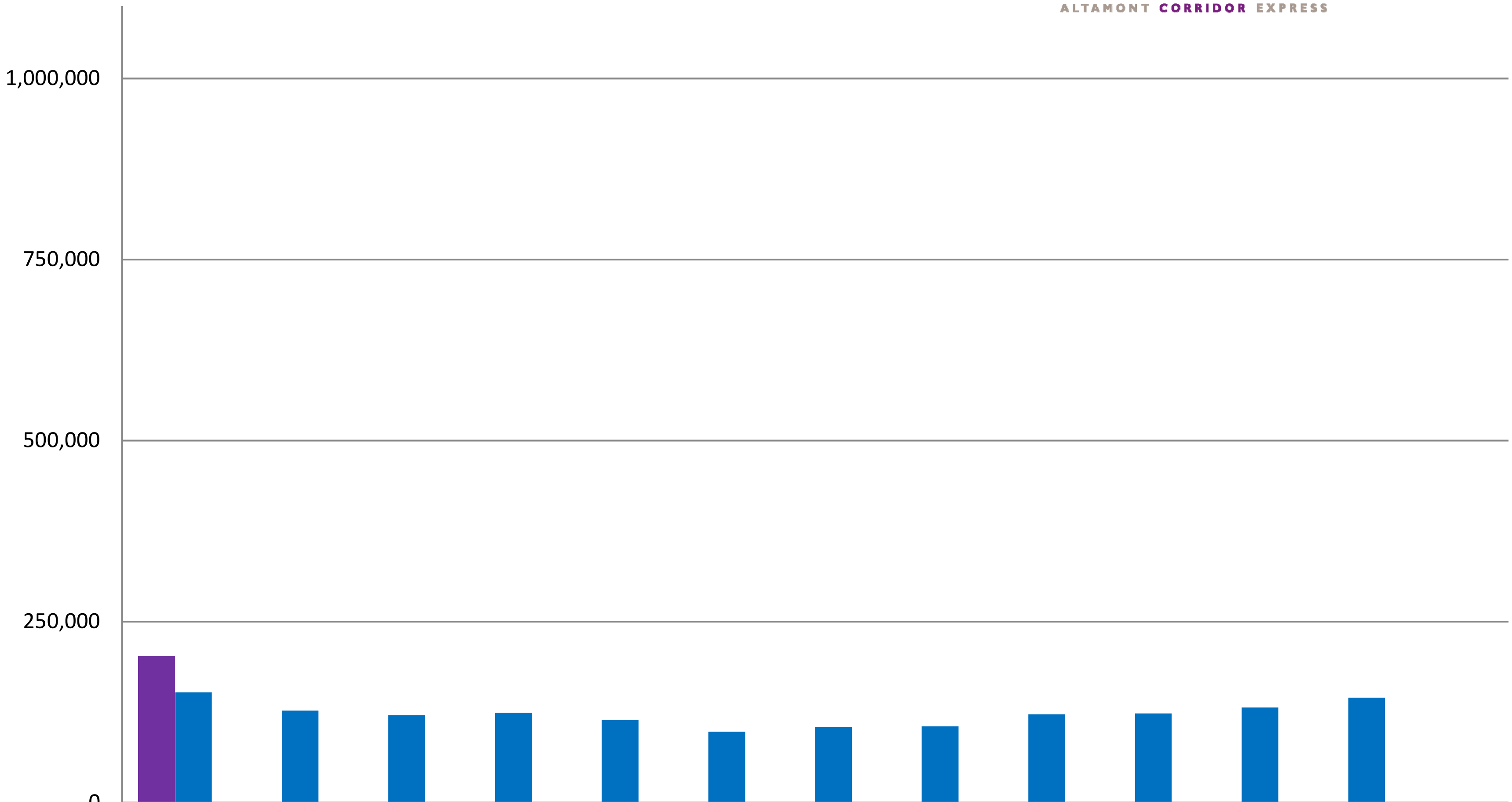
26 Network Integration - Planning consulting services of integrating the ACE and SJJPA services with high-speed rail and other rail transit services.

27 Ripon Multimodal Station and Offsite Improvements - A request for proposal for this project was released in May 2021. A consultant contract will be presented to the Board for approval at the September Board Meeting.

28 Locomotive (2) Options - Staff is working with Siemens on the pricing and schedule for the option. Staff will be bringing an item to the Board at the August 2021 meeting requesting approval of the option order.

Item 3.3

Fare Revenue

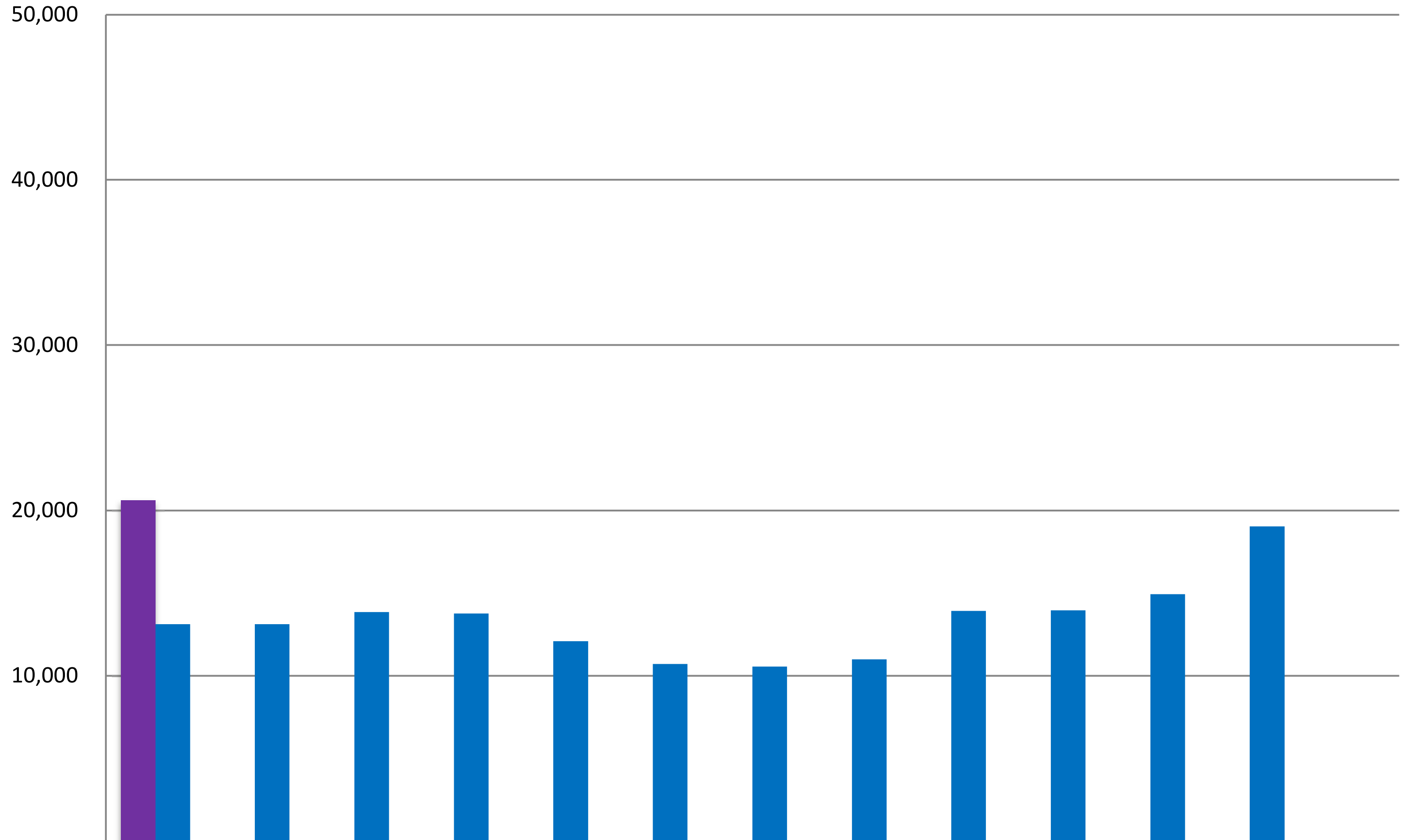


	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22	FY TOTAL
FY 21-22 Fare Revenue	202,701	-	-	-	-	-	-	-	-	-	-	-	202,701
FY 20-21 Fare Revenue	152,163	127,080	120,896	124,592	114,383	98,109	104,400	105,194	122,076	123,236	131,404	145,139	1,468,672

% of Budget Year Elapsed: 8%
FY 21-22 % of Budgeted Fare Revenue Received to Date: 4.7%
Projected Annual Fare Revenue (includes Amtrak Thruway Service): $4,275,000.00

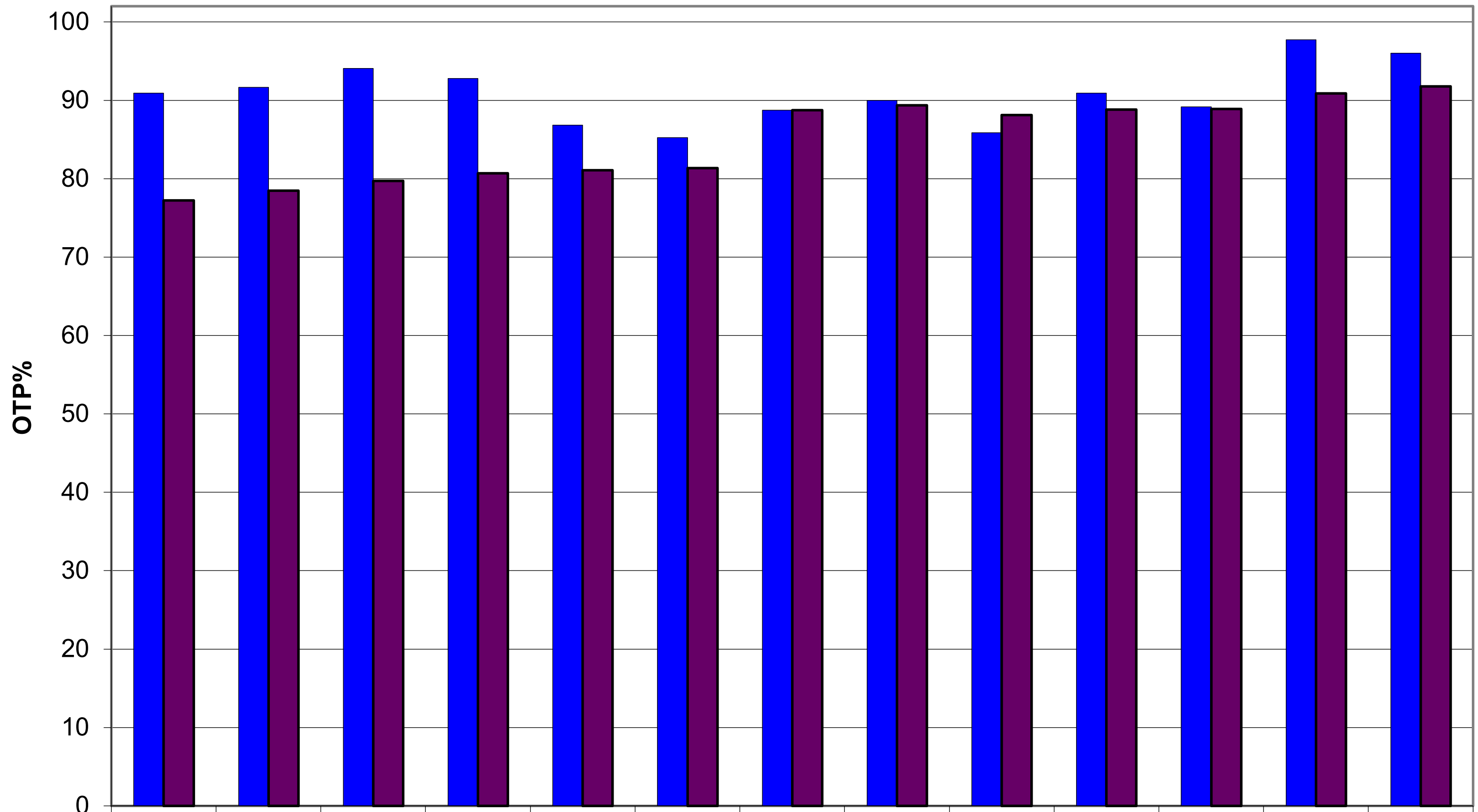
Ridership

Item 3.4



	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22	FY TOTAL
FY 21-22 Ridership	20,628	-	-	-	-	-	-	-	-	-	-	-	20,628
FY 20-21 Ridership	13,126	13,121	13,847	13,760	12,085	10,708	10,544	10,991	13,914	13,951	14,928	19,032	160,007

ACE ON TIME PERFORMANCE



	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21
Monthly OTP %	90.91	91.67	94.05	92.77	86.84	85.23	88.75	90	85.87	90.91	89.17	97.71	96.03
YTD OTP %	77.21	78.47	79.71	80.67	81.06	81.34	88.75	89.38	88.1	88.82	88.91	90.86	91.77

Item 3.5

Tai Ginsberg & Associates, LLC
200 Massachusetts Ave. NW, 7th Floor
Washington, DC 20001
T 202 415 9703

Buchanan Ingersoll & Rooney PC
1700 K Street, NW, Suite 300
Washington, DC 20006
T 202 452 7900

TO: San Joaquin Regional Rail Commission (SJRRC)
FROM: TG&A Staff
SUBJECT: Monthly Progress Report for AUGUST 2021
DATE: August 27, 2021

August 5, 2021. President Joe Biden issued an **Executive Order on Strengthening American Leadership in Clean Cars and Trucks** [and **here EO 14037**] that set a goal that 50 percent of all new passenger cars and light trucks sold in 2030 should be zero-emission vehicles, including battery electric, plug-in hybrid electric, or fuel cell electric vehicles. The EO has no binding authority and currently electric vehicles only account for about 3 percent of car sales. In conjunction, the **Environmental Protection Agency** (EPA) proposed new greenhouse gas (GHG) emissions standards for passenger cars and light trucks to secure pollution reductions through Model Year 2026. The **proposed rule** would reach a projected industry-wide target of 171 grams CO_2/mile, or 52 miles per gallon equivalent by MY 2026. [Transportation is the single largest source of GHG emissions in the United States, making up 29 percent of all emissions and passenger cars and trucks contribute 58 percent of all transportation sources and 17 percent of total U.S. GHG emissions (**Fact Sheet**). EPA announced a virtual public hearing to be held August 25, 2021, on the proposed rule. The EPA is also planning to reduce GHG emissions and other harmful air pollutants from heavy-duty trucks through a series of rulemakings over the next three years via the **Clean Trucks Plan**.

BIDEN 2021 TRANSPORTATION-RELATED NOMINATIONS/CONFIRMATIONS

Since the July 2021 Report, following are the only changes in status (**RED TYPE**) to transportation-related nominees.
A full 2021 listing of "Nominations" is available from TG&A upon request.

NOMINEE	US DOT ADMINISTRATOR / OTHER	STATUS
Karen **Hedlund**	Member of the Surface Transportation Board	President Biden announced his intent to **Nominate** on 4/28/2021. Committee on Commerce, Science, and Transportation held a hearing (nominee testified and answered questions) on 6/24/2021. **Favorably reported from Commerce Committee on 8/4/2021 and placed on Senate Executive Calendar (Schumer has failed to put forward Hedlund's name for a floor vote)**.
Jennifer **Homendy**	Chair of the National Transportation Safety Board.	President Biden announced his intent to **Nominate** on 5/19/2021. Received in the Senate and referred to the Committee on Commerce, Science, and Transportation on 5/20/2021. Committee on Commerce, Science, and Transportation held a hearing (nominee testified and answered questions) on 6/24/2021. **Confirmed by the Senate by Voice Vote on 8/9/2021. Homendy was sworn in on 8/13 to become NTSB Chair.**

APPROPRIATIONS/BUDGET

August 12, 2021. The US Department of Treasury released their **Monthly Treasury Statement** indicating that the deficit for July 2021 was $302 billion, i.e. Outlays of $564 billion less total Receipts of $262 billion. Similarly, the cumulative deficit for the 10-month period (October 2020 thru July 2021) was $2.54 trillion, i.e. Outlays of $5.858 trillion less total Receipts of $3.318 trillion. By comparison, the same 10-month period last year the deficit was $2.8 trillion.

August 2-10, 2021. Janet Yellen, Secretary of the Treasury, sent a **Letter** to House Speaker Nancy Pelosi to apprise her of the actions the Treasury Department is taking in regard to the debt limit which expired on July 31, 2021. Inasmuch, the Treasury has suspended the sale of State and Local Government Series securities.

Additionally, the Treasury is unable to fully invest the portion of the Civil Service Retirement and Disability Fund not immediately required to pay benefits, the Treasury is suspending investments in the Postal Service Retiree Health Benefits Fund and the Treasury is unable to invest fully the Government Securities Investment Fund. The funds will be made whole once the debt limit is increased or suspended. On August 1, the debt limit was reinstated at around $28.5 trillion – public debt held by the public and government agencies. During the July – September 2021 quarter, the Treasury Department is expected to borrow **$673 billion in privately-held net marketable debt**, assuming an end-of-September cash balance of $750 billion. During the October – December 2021 quarter, the Treasury Department is expected to borrow **$703 billion in privately-held net marketable debt**, assuming an end-of-December cash balance of $800 billion. On August 9, Secretary Yellen released a **statement** noting that "The vast majority of the debt subject to the debt limit was accrued prior to the Administration taking office. This is a shared responsibility, and I urge Congress to come together on a bipartisan basis as it has in the past to protect the full faith and credit of the United States." On August 10, a group of 45 Senate Republicans signed a **letter** stating that, "we will not vote to increase the debt limit ceiling" due to the Democrats "massive and unprecedented deficit spending spree." Meanwhile, Democratic Leaders are intimating that they are likely to attach a debt limit suspension to a continuing resolution until agreement can be reached on FY 2022 appropriation bills.

Transportation-Related Appropriations Narrative/Status Table for FY 2022

The US House passed a package of seven consolidated FY 2022 appropriations bills on July 29 by a vote of 219 to 208 (**HR 4502**) consisting of: [1.) Labor, Health and Human Services, Education, 2.) Agriculture, Rural Development, 3.) **Energy and Water Development** (Bill URL before floor action), 4.) Services and General Government, 5.) Interior, Environment, 6.) Military Construction, Veterans Affairs, 7.) **Transportation, and Housing and Urban Development Appropriations Act, 2022** (Bill URL before floor action.] See House Appropriations Press Release **here**. **Notwithstanding the fact that the Senate has released only three of its FY 2022 bills, it is being reported that the earmarks secured in the House appropriation bill will likely remain in a final spending package**.

OVERALL FY 2022 APPROPRIATION BILL SUMMARY			
	Bills Passed:		House (9 of 12)
			Senate (0 of 12)
	Bills Vetoed:		(0 of 12)
	Both Chambers Passed:		(0 of 12)
	Bills Enacted:		(0 of 12)
Appropriations Status Table Link			

US Department of Transportation Appropriation Status Table FY 2022										
	Subcommittee Approval		Full Committee Approval		Initial Passage		Resolution of House-Senate Differences			Presidential
Transportation-HUD	House	Senate	House	Senate	House	Senate	Conference Rpt.	House	Senate	Approval
HR 4502	(voice vote) 7/12/2021		(33-24) 7/16/2021	(25-5) 8/4/2021	(219-208) 7/29/2021					
H Rpt. 117-99										
Notes:										
House Transportation/HUD Appropriations - 7/12/2021 Subcommittee Press Release										
House Transportation/HUD Appropriations - 7/16/2021 Full Committee Approval										
House Passes Seven-Bill FY 2022 Appropriations (HR 4502) Press Release										

SENATE/HOUSE BUDGET RESOLUTION NEWS

SENATE

On August 11, 2021, (at 3:51 a.m.) the Senate passed **S. Con. Res. 14**, as amended (THE CONCURRENT RESOLUTION ON THE BUDGET FOR FISCAL YEAR 2022) by a **vote of 50-49** (also see Committee Print **here**). A summary of the FY 2022 Budget Resolution Agreement Framework can be found **here**. Passing the Budget Resolution (a budget blueprint and foundational framework document for the broad $3.5 trillion Biden/Democratic budget reconciliation spending bill) is the first step to passing a budget through the "reconciliation" process.

By using a budget reconciliation process Senate Democrats may be able to pass, without any Republican support via a simple majority, their antipoverty/climate plan this fall. The FY 2022 budget resolution provides the Finance Committee with instructions that allows for: $1.8 trillion in investments for working families, the elderly and the environment; a tax cut for Americans making less than $400,000 a year; ensuring that the wealthy and large corporations pay their fair share of taxes; and hundreds of billions in additional savings by lowering the price of prescription drugs. **Reconciliation instructions provide that Chairs of the Committees mentioned in the framework are given instructions to submit their legislation (based on their allotments) to the Committee on the Budget by September 15, 2021**. The reconciliation process may impact the timing of House consideration of the Infrastructure Investment and Jobs Act, as Speaker Pelosi intends to package reconciliation and infrastructure together for progressives to ensure passage of their larger, partisan antipoverty and climate plan. The budget resolution outline does not include any mechanism to increase the debt limit, which is at $28.5 trillion. **The reconciliation process could also be used to reinstate House-member earmarks that were included in the House transportation bill.**

Earlier, the Senate Committee on the Budget **introduced** the FY 2022 Budget Resolution on August 9, 2021. At that time Senate Budget Committee Chairman Bernie Sanders (I-VT) had stated that, "The $3.5 trillion Budget Resolution that I am introducing today will allow the Senate to move forward on a reconciliation bill that will be the most consequential piece of legislation for working people, the elderly, the children, the sick and the poor since FDR and the New Deal of the 1930s." The agreement calls for the $3.5 trillion in long-term investments to be fully offset by a combination of new tax revenues, health care savings, and long-term economic growth [Roll Call reports that only about $1.75 trillion is offset, thereby increasing the deficit by $1.75 trillion]. In addition, the agreement would prohibit new taxes on families making less than $400,000 per year, and on small businesses and family farms.

HOUSE

On August 24, 2021 the House passed a $3.5 trillion budget resolution by a vote of 220-212. Passage of the measure unlocks the reconciliation process which will be used to pass the Administration's human infrastructure program later this fall. The reconciliation process means that the budget can pass without support from Republicans, who have already voiced their opposition. The measure passed notwithstanding a letter sent to House Speaker Nancy Pelosi (D-CA) on August 12, by nine House Democrats intimating that they would not vote (or vote against) the $3.5 trillion Budget Resolution (which includes policies such as free community college, universal prekindergarten, expanded Medicare benefits and clean energy incentives all to be paid for/offset by raising taxes on corporations and high-income households) until the House approved a $1 trillion infrastructure bill. Such a letter was significant because of the narrow voting margins involved (no Republicans are expected to vote for the budget resolution) and House Democrats could not afford a defection of more than three members (assuming every Republican votes against the budget resolution) to pass the measure. Conversely, Speaker Pelosi had repeatedly intimated that she will not address the infrastructure bill until the Senate has passed a reconciliation package. To assuage the 10 centrist Democrats (the group increased to 10 from 9) , Speaker Pelosi assured the group of an infrastructure vote no later than September 27; although, it is unlikely that the reconciliation bill will be drafted and passed by that date.

LATEST SURFACE TRANSPORTATION REAUTHORIZATION DEVELOPMENTS

SENATE

August 1-10, 2021. On August 10, 2021, the Senate passed the **Infrastructure Investment and Jobs Act of 2021** (IIJA) by a vote of 69-30 with bipartisan support (19 Republican including Senate Minority Leader Mitch McConnell (R-KY) joining all 50 Democratic members).

The IIJA (2,702 pages) is pegged at nearly $1 trillion ($550 billion in additional funding above baseline) for federal infrastructure investment to reauthorize surface transportation programs plus other modes of transportation and new infrastructure investment such as for airports, water, power, energy, environmental remediation and broadband for five years (FY 2022-2026). Some of the more high-profile offsets or "pay-fors" include:

- $53 billion from certain states' unused enhanced federal Unemployment Insurance supplements;
- $67 billion in unused savings from the COVID-19 employer retention tax credit that CBO projected would be utilized and were not, minus the impact of sunsetting the credit;
- $106 billion in unused savings from COVID-19 paid & family leave tax credits;
- $49 billion from delaying Medicare Part D Rx rule;
- $14.5 billion from reinstating certain Superfund fees;
- $56 billion from economic growth resulting from a 33 percent return on investment in long-term infrastructure projects, et al.

The bill now moves to the House of Representatives where House Speaker Nancy Pelosi (D-CA) has said that she will not address the IIJA until the Senate has passed the larger ($3.5 trillion) budget reconciliation package. See Pete DeFazio (D-OR) statement on passage of IIJA here.

See clipped WSJ modal funding table below.

Recall, it was on August 1, that the Senate unveiled the IIJA and it was on Saturday, August 7, that eighteen Republicans joined Democrats (67 total) and voted to limit debate thereby surpassing the 60-vote threshold (aka filibuster), an important milestone that demonstrated that the bipartisan coalition was holding together notwithstanding a CBO report that reported that the bill was not fully paid for with offsets (next page).

The Congressional Budget Office (CBO) released a **report** on August 5, noting that "H.R. 3684 would decrease direct spending by $110 billion, increase revenues by $50 billion, and increase discretionary spending by $415 billion. **On net, the legislation would add $256 billion to projected deficits over that period**. A WSJ article noted that one of the biggest discrepancies between the CBO score and the bipartisan group of lawmakers promoting the agreed-to bill is the cost of repurposing COVID-19 aid. The article notes that, "lawmakers had said they would save roughly $210 billion by repurposing those funds, while the CBO score give lawmakers credit for reducing outlays by roughly $13 billion with the cuts." The CBO also released a report entitled, "**Effects of Physical Infrastructure Spending on the Economy and the Budget Under Two Illustrative Scenarios**."

USEFUL RESOURCES (INFRASTRUCTURE INVESTMENT & JOBS ACT OF 2021):

White House Updated Fact Sheet – August 2, 2021 (Infrastructure)
White House Updated Fact Sheet – August 3, 2021 (Economic/Public Opportunities Communities/Color
White House State Fact Sheets
Senate Committee on Environment & Public Works – Overall – 3 pages
Senate Committee on Environment & Public Works – Highways – 5 pages
American Road & Transportation Builders Association – August 2, 2021
ARTBA State-By-State FY 2021 Apportionments & E&PW Proposed Apportionments
ARTBA E&PW Proposed Authorization
National Association of Counties NACo
American Public Transportation Association - **Public Transit Investment**
American Public Transportation Association – **Passenger Rail Investment**
American Public Transportation Association – **General Information**
Politico IIJA Summary – 58 pages.
Independent Sector Org IIJA Summary – 2 pages
Holland & Knight IIJA Summary
JDSUPRA IIJA Summary

HOUSE

Peter DeFazio (D-OR), Chairman of the House Committee on Transportation and Infrastructure, has reluctantly dropped his outright opposition to the House voting on the Senate's bipartisan infrastructure bill (rather than negotiating the difference via conference, or "regular order." See DeFazio initial **statement** regarding the Senate's passage of the Infrastructure Investment and Jobs Act of 2021 (IIJA), noting in part that, "With today's passage of a bipartisan infrastructure bill in the Senate, Congress is as close as it has been in years to finally delivering on a big, bold infrastructure package. Unfortunately, this package falls short when it comes to addressing climate change like the existential threat it is, and the world's scientists only reinforced the need for additional action in the IPCC's latest alarming report. That is why I'm committed to continuing to fight for transformational funding and policies in the reconciliation process that will reduce carbon pollution from the transportation sector, support American manufacturing and ingenuity, and create infrastructure that is smarter, safer, and made to last." While House Speaker Nancy Pelosi (D-CA) praised the Senate for passing a bipartisan infrastructure package (**Pelosi Statement**) the Speaker also made it clear that, "The House will continue to work with the Senate to ensure that our priorities For The People are included in the final infrastructure and reconciliation packages, in a way that is resilient and will Build Back Better." Speaker Pelosi has broadly stated that the House will not address the IIJA until the Senate passes their $3.5 trillion budget reconciliation bill.

Recall that on July 1, 2021, the US House passed their $759 billion ($715 billion plus an additional $44 billion in floor amendments) five-year "Investing in a New Vision for the Environment and Surface Transportation in America Act aka **INVEST in America Act** (engrossed version with all House Amendments) by a vote of **221-201** (219 Democrats and 2 Republicans). The INVEST in America Act (1,934 pages) is a surface transportation reauthorization and wastewater/drinking water infrastructure bill introduced by Peter DeFazio (D-OR).

SELECTED CONGRESSIONAL HEARINGS/BUSINESS

August 23-24, 2021. The House Committee on Rules met to consider **S. Con. Res. 14** setting forth the congressional budget for the United States Government for fiscal year 2022 and setting forth the appropriate budgetary levels for fiscal years 2023 through 2031 and to consider **Senate Amendment to H.R. 3684** - Infrastructure Investment and Jobs Act. On 8/23 the Committee granted (by an 8 to 4 vote) a rule providing consideration of both of the aforementioned measures.

August 4, 2021. The Senate Committee on Commerce, Science and Transportation convened an executive session to consider several bills and several nominations, including the nomination of **Honorable Jennifer L. Homendy**, of Virginia, to be Chair of the National Transportation Safety Board and the nomination of **Ms. Karen J. Hedlund**, of Colorado, to be a Member of the Surface Transportation Board. Both of the aforementioned nominations were favorably reported and placed on the Senate Executive Calendar. The nomination of **Ms. Carol A. Petsonk**, of the District of Columbia, to be Assistant Secretary of Transportation was also favorably reported by the Committee.

SELECTED CONGRESSIONAL "TRANSPORTATION-RELATED" BILLS – AUGUST

SENATE BILLS			
S. Con. Res. 14	B. Sanders (I-VT) Concurrent resolution setting forth the congressional budget for the United States Government for fiscal year 2022 and setting forth the appropriate budgetary levels for fiscal years 2023 through 2031. Introduced 8/9/2021.	**S 2630**	C. Booker (D-NJ) Requires Federal agencies to address environmental justice, to require consideration of cumulative impacts in certain permitting decisions. Introduced 8/5/2021.
S 2616	R. Menendez (D-NJ) "Livable Communities Act of 2021." To create livable communities through coordinated public investment and streamlined requirements. Introduced 8/5/2021.	**S 2579**	R. Wyden (D-OR) "Bikeshare Transit Act of 2021." Introduced 8/2/2021.
S Amdt. 2181 to S. Amdt 2137	C. Lummis (R-WY) To require the Secretary of Transportation to carry out a highway cost allocation study. Introduced 8/2/2021.	**S Amdt 2140 to S Amdt 2137**	T. Duckworth (D-IL) To require recipients of all stations accessibility grants to adopt plans to pursue public transportation accessibility projects that provide accessibility for individuals with disabilities. Introduced 8/3/2021.
HOUSE BILLS			
HR 5059	J. Garamendi (D-CA) "Make it in America Act." To increase the requirement for American-made content, to strengthen the waiver provisions. Introduced 8/20/2021.	**HR 5065**	D. Lesko (D-AZ) To withhold Federal highway funds from States that provide driver's licenses or identification cards to aliens who are unlawfully present in the US. Introduced 8/20/2021.
HR 4998	B. Higgins (D-NY) Direct the Secretary of Homeland Security and heads of related agencies to construct and staff passenger rail preclearance facilities. Introduced 8/10/2021.	**HR 4893**	T. Nehls (R-TX) To prohibit a State or local government from using highway safety program funds for traffic control or traffic enforcement if such State or local government defunds the police. Introduced 7/30/2021.

FEDERAL REGISTER NOTICES OF FUNDING OPPORTUNITY (NOFOs) / GRANT AWARDS

See Addendum A. - Calendar Year NOFO/AWARDS SCORECARD – at end of report.

August 13, 2021. The **Federal Transit Administration** (FTA) announced a **Notice of Funding Opportunity** (NOFO) making available $545,000 under the **FY 2021 Research to Practice (R2P) Program**. The R2P will identify research methods that work best for transit professionals as well help develop plans to put them into practice.

The successful organization also will help create an outreach program to highlight FTA research findings for future use by transit agencies. **Proposals must be submitted electronically through GRANTS.GOV by 11:59 PM Eastern Time on 10/12/2021**.

August 4, 2021. The Federal Highway Administration (FHWA) issued a **Notice of Funding Opportunity** (NOFO) making available $240,000 under the "Dwight David Eisenhower Transportation Fellowship Program (DDETFP) Grants for Research Fellowship (GRF)" program. The FHWA is requesting applications to result in the award of up to four new grant agreements. Funding for each award can range from a minimum of $15,000 to a maximum of $60,000. The funding opportunity is open to students that are U.S. citizens and non-U.S. citizens. Cost sharing or matching is not required. The goals of the Grants are to 1) attract the nation's brightest minds to the field of transportation, 2) enhance the careers of transportation professionals by encouraging them to seek advanced degrees, and 3) bring and retain top talent in the transportation industry of the United States. **The application deadline is September 17, 2021, at 4:00 p.m. ET**.

OTHER TRANSPORTATION-RELATED FEDERAL REGISTER NOTICES

No relevant items.

OTHER REPORTS/NOTICES/NEWS ARTICLES

August 20, 2021. The **Transportation Security Administration** (TSA) has extended the face mask requirement for individuals across all transportation networks throughout the United States, including at airports, onboard commercial aircraft, on over-the-road buses, and on commuter bus and rail systems through January 18, 2022. Recall that On January 31, TSA announced the initial face mask requirement with an expiration date of May 11. On April 30, the TSA announced an extension to the face mask requirement through September 13, 2021.

August 16, 2021. The National Academies Press released a **Report** entitled, "Resilience Primer for Transportation Executives (2021)." The primer identifies how chief executive officers (CEOs) of state departments of transportation (DOTs) and their senior executives can incorporate resiliency practices into their agencies' day-to-day operations and long-range planning.

August 13, 2021. The National Highway Traffic Safety Administration (NHTSA) opened an **investigation** into Tesla's Advanced Driver Assistance System due to 11 crashes since January 2018 in which Tesla vehicles have struck stopped emergency vehicles. NHTSA is looking at whether the technology was a contributing factor.

August 10, 2021. The Federal Transit Administration (FTA) **announced** that more than 700 transit agencies around the United States have certified that they now have safety plans to help keep riders and employees safe on their transit systems. The safety plans were required to be completed by July 20th, following a Federal Transit Administration (FTA) rule issued in 2018. The **Public Transportation Agency Safety Plan (PTASP) Final Rule** requires all agencies that receive FTA's Urbanized Area Formula Grants to set safety performance targets in a Safety Plan that is reviewed and certified every year. Any agency that failed to file a complaint Safety Plan by the deadline would have been ineligible for further grants from FTA.

August 9, 2021. The National Academies Press released a **Report** entitled, "Fix It, Sign It or Close It: State of Good Repair in an Era of Budget Constraints (2021)." The report addresses the legal ramifications to transportation agencies that have to decide whether to repair, improve, or rebuild assets that are in poor repair.

August 9, 2021. The National Academies Press released a **Report** entitled, "Investing in Transportation Resilience: A Framework for Informed Choices (2021)." The report reviews current practices by transportation agencies for evaluating resilience and conducting investment analysis for the purpose of restoring and adding resilience.

August 5, 2021. The **US Department of Treasury** released a **new template** for the State and Local Fiscal Recovery Fund's Recovery Plan Performance Report. All States and territories, and metropolitan cities and counties with a population that exceeds 250,000 residents that are recipients of State and Local Fiscal Recovery Funds (SLFRF) awards are required to produce a Recovery Plan Performance Report (the "Recovery Plan"). **The initial Recovery Plan will cover the period from the date of award to July 31, 2021 and must be submitted to Treasury by August 31, 2021**. Thereafter, the Recovery Plan will cover a 12-month period and recipients will be required to submit the report to Treasury within 30 days after the end of the 12-month period (by July 31). Also see "**Treasury's Portal for Recipient Reporting**."

Annual Report	Period Covered	Due Date
1	Award Date – July 31, 2021	August 31, 2021
2	July 1, 2021 – June 30, 2022	July 31, 2022
3	July 1, 2022 – June 30, 2023	July 31, 2023
4	July 1, 2023 – June 30, 2024	July 31, 2024
5	July 1, 2024 – June 30, 2025	July 31, 2025
6	July 1, 2025 – June 30, 2026	July 31, 2026
7	July 1, 2026 – December 31, 2026	March 31, 2027

August 5, 2021. The Congressional Research Service (CRS) released a report entitled, "**Infrastructure and the Economy**." The report noted that, "Many economists believe that under the right economic conditions, public investment in infrastructure will contribute to long-term growth. However, if the investment is financed through government debt or made during an economic expansion, this could dampen or even negate the impact." Overall, the report discusses the potential benefits and drawbacks of public infrastructure investment, the state of public infrastructure investment in the economy, and potential future plans for additional public infrastructure investment.

August 2, 2021. The National Academies Press released a **Report** entitled, "Assessing and Mitigating Electrical Fires on Transit Vehicles (2021)."

August 2, 2021. The Federal Transit Administration (FTA) **announced** the award of a cooperative agreement totaling $5 million to the International Transportation Learning Center (ITLC) to support the first **Transit Workforce Center** (TWC). Based in Silver Spring, Maryland, ITLC will serve as FTA's first ever national technical assistance center to help transit agencies recruit, hire, train, and retain a diverse workforce needed now and in the future.

July 29, 2021. The Eno Center for Transportation issued a report entitled, "**Saving Time and Making Cents: A Blueprint for Building Transit Better**." Eighteen months of research, a database of 180 projects and 9 case studies work to uncover why US transit projects cost so much and take so long to build.

July 28, 2021. The American Public Transportation Association (APTA) released a new report entitled "**Mobility Innovation: The Case for Federal Investment and Support**." The six case studies highlighted in the new report illustrate the true innovative potential of the public transit industry in the United States.

UPCOMING CONGRESSIONAL CALENDAR – SEPTEMBER 2021

the August recess continues to be a regular feature of the House/Senate schedule. The Senate began their truncated recess on August 11, and the Senate is not scheduled to return until September 13. House Majority Leader Steny Hoyer (D-MD) dispatched a "Dear Colleague" **letter** on August 10, instructing House members that the, "House will return to session on the evening of August 23, to consider that budget resolution [Senate-passed Budget Resolution] and will remain in session until our business for the week is concluded." [The House subsequently passed their budget resolution on August 24, 2021.] The next scheduled Senate votes (unless something changes) are not until September 13.

SEPTEMBER						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

House in Session Only
Senate in Session Only
House & Senate in Session
Federal Holiday

UPCOMING DEADLINES/EVENTS

Note: Given the recommendations from the Centers for Disease Control and Prevention, many upcoming events have/are being cancelled or conducted "virtually" to prevent the spread of COVID-19.

Jan-Dec 2021	**FY 2021 Transit Training Schedule**
August 5-14	**AASHTO Leadership Institute (ALI)** at the University of Kansas campus, Lawrence, KS;
September 2	TRB Webinar "**A Guide to Joint Development for Public Transportation Agencies;**"
September 2	**AASHTO** to Host five Thursday Webinars **9/2**; **9/9**; **9/16**; **9/23**; and **9/30**;
September 7-9	**North American Rail Shippers Annual Meeting** (Chicago);
September 13-17	**Progressive Railroading's Demo Week**;
Sept. 28-Oct. 1	**AASHTO Council on Rail Transportation Annual Meeting**;
October 4-7	AASHTO Executive Institute (AEI) in Washington D.C.
October 3-6	**APTAtech** (transit emerging technologies) and other Events found **here**;
October 26-29	**AASHTO Annual Meeting** – San Diego, CA;
November 7-10	**APTA's Transform Conference & Expo**.

SCUTTLEBUTT/ICYMI

August 10-12, 2021. **Canadian Pacific (CP) has increased its offer** to acquire Kansas City Southern (KCS) in a stock and cash transaction representing a value of approximately $31 billion and $300 per share ($25 per share over the original office price of $275 per share and $25 billion in value). CP stated that the proposal is a superior proposal (due to greater regulatory and value certainty it provides to KCS stockholders) as from that offered by Canadian National ($325 per share at a value of $33.6 billion in value), and that now is the time to re-engage with KCS due to the regulatory uncertainty of the proposed CN/KCS merger. [Some analysts expect that the **STB** will reject the CN/KCS merger; in part, due to the Biden Administration's July 9, 2021, **Executive Order** promoting competition and to combat "patterns of consolidation."] **The Surface Transportation Board is expected to issue a decision on the proposed voting trust no later than August 31, 2021**. On August 12, the KCS Board of Directors unanimously rejected the CP offer and to continue to back the higher CN offer.

Continued:
August 2, 2021. Kansas City Southern issued a **statement** in response to Canadian Pacific Railway Limited's filing of a preliminary proxy statement on July 29, 2021 regarding the Company's proposed combination with CN announced on May 21, 2021. In part, the statement noted, "CP's filing of a preliminary proxy seeking votes against the CN transaction is part of an effort to defeat a transaction that offers KCS shareholders $50 per share more than CP was willing to offer. KCS believes that shareholders should focus on the opportunity to receive a value under the CN combination of $325 per share, compared to CP's now terminated offer of $275 per share. We continue to recommend that our shareholders vote "FOR" the combination with CN, which has compelling benefits for all stakeholders including notably, our customers." Meanwhile, an Institutional shareholder Services, Inc. (ISS) advised that KCS should vote for the CN merger deal on ~~August 19, 2021~~ (this meeting date has been postponed to September 3, 2021 pending the STB ruling so that shareholders can make a fully-informed decision), despite the risk the it may be blocked by the STB. Meanwhile, Glass Lewis & Co. has noted that the "difference between the two offers has now narrowed and that "some investors may consider the CP offer currently has materially greater with respect to regulatory approval."

July 28, 2021. The US Census Bureau released **apportionment results** in April and showed that the resident population of the US on April 1, 2020 was 331,449,281 persons, up from 308,745,538 in 2010. Apportionment is the process of determining the number of seats to which each state is entitled in the U.S. House of Representatives based on the decennial census - the Constitution requires that the U.S. conduct a count of the population every 10 years. The **Bureau** is now preparing to release the 2020 Census data in two releases. The **first release** on August 12, is timelier in its delivery, and the second release by September 30, is easier to use. Put another way, the redistricting data released in August and September contain the exact same information but presented in different formats. [See interesting **Infographics and Visualizations** (5 pages).] The census data is used to redraw voting districts, i.e. the process of revising the geographic boundaries within a state from which people elect their representatives to the U.S. House of Representatives, state legislature, county or city council, school board, etc.

Addendum A. – Calendar Year NOFO/AWARDS SCORECARD. **An Excel spreadsheet with “hot-links” is available from TG&A upon request.**

SELECTED TRANSPORTATION NOTICE OF FUNDING OPPORTUNITIES (NOFOs) &/OR AWARDS
(SCORECARD for CY 2021)

8/15/2021

	NOFO TITLE	TYPE (NOFO / AWARD)	NOFO ISSUANCE DATE	NOFO URL	NOFO $s MADE AVAILABLE	APPLICATION DEADLINE	NOFO $ AWARDS	AWARDS URL	AWARDED - DATE	COMMENTS
US DOT										
	Inclusive Design Challenge (FY 2018 Funding)	NOFO/AWARD	4/21/2020	NOFO URL	5,000,000	10/30/2020	3,000,000	Award URL	1/6/2021	$5 m. prize purse from FY 2018 “Highly Automated Vehicle Research & Dev. Pgm."
	Complete Trip - ITS4US Deployment Program	NOFO/AWARD	6/18/2020	NOFO URL	40,000,000	7/7/2020	38,350,871	Award URL	1/6/2021	
	FY 2021 INFRA Discretionary Grants **VOID - TBA - See 2/17/2021 for Revision**	NOFO	1/20/2021	NOFO URL	889,000,000	4/4/2021	TBD	TBD	TBD	**Postponed Notice URL**
	FY 2021 INFRA Discretionary Grants	NOFO	2/17/2021	NOFO URL	1,039,000,000	3/19/2021	TBD	TBD	TBD	
	FY 2021 National Infrastructure Investments (BUILD) **VOID - See 4/13 for Update**	NOFO	1/21/2021	NOFO URL	1,000,000,000	4/20/2021	TBD	TBD	TBD	**Postponed Notice URL**
	Dwight David Eisenhower Transportation Fellowship Program	NOFO	2/18/2021	NOFO URL	1,000,000	4/16/2021	TBD	TBD	TBD	FY 2021 INFRA NOF Fed Reg
	Transportation Demonstration Program	NOFO	2/26/2021	NOFO URL	100,000,000	6/25/2021	TBD	TBD	TBD	
	FY 2021 National Infrastructure Investments (Rebuilding American Infrastructure with Sustainability and Equity (**RAISE**) Grant Program)	NOFO	4/13/2021	NOFO URL	1,000,000,000	7/12/2021	TBD	TBD	TBD	
	Aviation Manufacturing Jobs Protection (AMJP) program	NOFO	6/11/2021	NOFO Solicitation	3,000,000,000	7/13/2021	TBD	TBD	TBD	
US DHS/ FEMA										
	FY 2020 Fire Prevention and Safety (FP&S) Grant program	NOFO	1/14/2021	NOFO URL	35,500,000	2/26/2021	TBD	TBD	TBD	
	FY 2020 Staffing For Adequate Fire And Emergency Response (SAFER) grants	NOFO	1/27/2021	NOFO URL	355,000,000	3/12/2021	TBD	TBD	TBD	
	FY 2021 Port Security Grant Program	NOFO/AWARD	2/26/2021	NOFO URL	100,000,000	5/14/2021	30,000,000	Award URL	8/9/2021	
	FY 2021 Intercity Passenger Rail - Amtrak	NOFO	2/26/2021	NOFO URL	10,000,000	5/14/2021	TBD	TBD	TBD	
	FY 2021 Nonprofit Security Grant Program	NOFO	2/26/2021	NOFO URL	180,000,000	5/14/2021	TBD	TBD	TBD	
	FY 2021 Intercity Bus Security Grant Program	NOFO	2/26/2021	NOFO URL	2,000,000	5/14/2021	TBD	TBD	TBD	
	FY 2021 Homeland Security Grant Program	NOFO	2/26/2021	NOFO URL	1,120,000,000	5/14/2021	TBD	TBD	TBD	
	FY 2021 Emergency Management Performance Grant Program (Regions 1-10)	NOFO	2/26/2021	NOFO URL	355,100,000	5/14/2021	TBD	TBD	TBD	
	FY 2021 Transit Security Grant Program	NOFO	2/26/2021	NOFO URL	88,000,000	5/14/2021	TBD	TBD	TBD	
	FY 2021 State Fire Training Systems Grant Program	NOFO	6/16/2021	NOFO URL	1,000,000	7/27/2021	TBD	TBD	TBD	
	FY 2021 Homeland Security Preparedness Technical Assistance Program	NOFO	6/23/2021	NOFO URL	525,000	7/30/2021	TBD	TBD	TBD	Projected No. of awards is 3.
US DOJ										
	FY 2021 COPS Hiring Program (CHP) Program	NOFO	5/7/2021	NOFO URL	140,000,000	6/22/2021	TBD	TBD	TBD	Solicitation
	COPS Community Policing Development Funds	NOFO	6/3/2021	NOFO URL	22,000,000	7/22/2021	TBD	TBD	TBD	
DOE										
	Advanced Research Projects Agency – Energy	FOA	2/11/2021	FOA URL	100,000,000	TBD	TBD	TBD	TBD	Development of high-potential, high-impact energy technologies.
EPA										
	Water Infrastructure Finance and Innovation Act	NOFA	4/29/2021	NOFA URL	54,500,000	7/23/2021	TBD	TBD	TBD	
	State Infrastructure Finance Authority Water Infrastructure Finance & Innovation Act	NOFA	4/29/2021	NOFA URL	5,000,000	6/25/2021	TBD	TBD	TBD	
FAA										
	Airport Coronavirus Response Grant Program	Notice	12/31/2020	Notice URL	2,000,000,000	TBD	TBD	TBD	TBD	
	Aviation Workforce Development Grant Program - **Aviation Maintenance**	NOFO	1/20/2021	NOFO URL	5,000,000	3/22/2021	TBD	TBD	TBD	
	Aviation Workforce Development Grant Program - **Aircraft Pilots**	NOFO	1/20/2021	NOFO URL	5,000,000	3/22/2021	TBD	TBD	TBD	
	Small Community Air Service Development Program	NOFO	1/20/2021	NOFO URL	18,000,000	3/1/2021	TBD	TBD	TBD	Rev. closing date - original was 1/26/2021
	Environmental Mitigation Pilot Program	NOFO	5/10/2021	NOFO URL	15,000,000	7/9/2021	TBD	TBD	TBD	
	FY 2022 Aviation Research Grants Program	NOFO	1/2/2022	NOFO URL	6,000,000	9/7/2021	TBD	TBD	TBD	Rolling Schedule for Pre-Applications
FHWA										
	Highway Construction Workforce Partnership (HCWP) Grant Program	NOFO	1/5/2021	NOFO URL	4,000,000	2/22/2021	TBD	TBD	TBD	
	Commuter Authority Rail Safety Improvement (CARSI) Grants	NOFO/AWARD	8/26/2020	NOFO URL	50,000,000		40,255,750	Award URL	1/14/2021	
	Operation Lifesaver	AWARD			200,000		200,000	Award URL	5/13/2021	O.L. is a nonprofit public safety education org.
	Operation Lifesaver	AWARD					245,317	Award URL	5/25/2021	Competitive rail safety awareness grants
	Accelerated Innovation Deployment Demonstration Grants	NOFO/AWARD	7/16/2020	NOFO URL	5,000,000	See note.	5,619,191	Award URL	5/26/2021	Rolling solicitation.
	Advanced Transportation and Congestion Mgt. Technologies Deployment Initiative	NOFO	6/23/2021	NOFO URL	60,000,000	8/23/2021	TBD	TBD	TBD	
	FY 2021 Accelerated Innovation Deployment (AID) Demonstration Program	NOFO	7/2/2021	NOFO URL	10,000,000	9/28/2021	TBD	TBD	TBD	Intent to apply deadline 8/3/2021.
	Operation Lifesaver - Rail Transit Safety Education Grants	NOFO	7/1/2021	NOFO URL	220,000	7/31/2021	TBD	TBD	TBD	Grant amounts are capped at $20,000
	Dwight David Eisenhower Transportation Fellowship Program Grants for Research	NOFO	8/4/2021	NOFO URL	240,000	9/17/2021	TBD	TBD	TBD	Up to four new grant agreements.
FRA										
	FY 2021 Supplemental for the NEC Cooperative Agreement to Amtrak	NOFO	2/11/2021	NOFO URL	1,209,483,050	2/22/2021	TBD	TBD	TBD	Consolidated Approp. Act, 2021 (P.L. 116-260)

SELECTED TRANSPORTATION NOTICE OF FUNDING OPPORTUNITIES (NOFOs) &/OR AWARDS
(SCORECARD for CY 2021)

8/15/2021

	NOFO TITLE	TYPE (NOFO / AWARD)	NOFO ISSUANCE DATE	NOFO URL	NOFO $s MADE AVAILABLE	APPLICATION DEADLINE	NOFO $ AWARDS	AWARDS URL	AWARDED - DATE	COMMENTS
	FY 2021 Supp. for the National Network Cooperative Agreement to Amtrak	NOFO	2/11/2021	NOFO URL	1,380,241,050	2/22/2021	TBD	TBD	TBD	Consolidated Approp. Act, 2021 (P.L. 116-260)
	FY 2021 Supp.for the NEC Cooperative Agreement to Amtrak	NOFO	2/9/2021	NOFO URL	969,388,160	4/23/2021	TBD	TBD	TBD	American Rescue Plan Act, 2021
	FY 2021 Supp. for the National Network Cooperative Agreement to Amtrak	NOFO	2/9/2021	NOFO URL	728,611,840	4/23/2021	TBD	TBD	TBD	American Rescue Plan Act, 2021
	FY 2020/2021 Pilot Program for Transit-Oriented Development (TOD) Planning	NOFO	4/21/2021	NOFO URL	10,052,572	6/21/2021	TBD	TBD	TBD	US DOT Announcement
	FY 2021 Rail Safety Innovations Deserving Exploratory Analysis (Rail Safety IDEA)	NOFO	5/4/2021	NOFO URL	400,000	5/14/2021	TBD	TBD	TBD	
	FY 2021 Railroad Safety State Participation Grant Program	NOFO	7/22/2021	NOFO URL	100,000	8/20/2021	TBD	TBD	TBD	
FTA										
	FTA - Coronavirus Response/Relief Supplemental Appropriations Act of 2021	Notice	1/11/2021	Notice URL	14,000,000,000	TBD	TBD	TBD	TBD	
	FY 2021 Low or No Emission Grant Program	NOFO/AWARD	2/11/2021	NOFO URL	180,000,000	4/12/2021	182,156,692	Award URL	6/25/2021	
	Community Rides Grant Program	NOFO	3/22/2021	NOFO URL	100,000	5/10/2021	TBD	TBD	TBD	
	FY 2020/2021 Technical Assistance and Workforce Development	NOFO	4/14/2021	NOFO URL	5,000,000	5/10/2021	TBD	TBD	TBD	
	FY 2021 Community Design Challenge Grants	NOFO	5/26/2021	NOFO URL	25,000	7/12/2021	TBD	TBD	TBD	Four communities to be selected.
	FY 2020/2021 Areas of Persistent Poverty Program	NOFO	6/30/2021	NOFO URL	16,259,614	8/30/2021	TBD	TBD	TBD	Combination FY 2021/2021 funding.
	FY 2021 Passenger Ferry Grant ProgramFY 2021 Passenger Ferry Grant Program	NOFO	8/5/2021	NOFO URL	38,000,000	10/5/2021	TBD	TBD	TBD	
	FY 2021 Research to Practice (R2P) Program	NOFO	8/13/2021	NOFO URL	545,000	8/13/2021	TBD	TBD	TBD	
HUD										
MARAD										
	FY 2021 Small Shipyard Grants program	NOFO	1/15/2021	NOFO URL	19,600,000	2/25/2021	TBD	TBD	TBD	
	FY 2021 Port Infrastructure Development Program	NOFO	3/29/2021	NOFO URL	230,000,000	7/30/2021	TBD	TBD	TBD	
	FY 2020 Small Shipyard Grants program	NOFO/AWARD	1/6/2020	NOFO URL	19,600,000	2/18/2020	19,600,000	Award URL	4/26/2021	
	FY 2021 America's Marine Highway Program	NOFO	5/22/2021	NOFO URL	10,819,000	6/25/2021	TBD	TBD	TBD	Only previously designated Marine Hwy. Proj. eligible.
NAT'L. SCIENCE FOUNDATIO										
NHTSA / FMCSA										
	FY 2021 High Priority- CMV program	NOFO	1/28/2021	NOFO URL	25,211,500	3/15/2021	TBD	TBD	TBD	
	FY 2021–Commercial Motor Vehicle Operator Safety Training Program	NOFO	1/28/2021	NOFO URL	2,000,000	3/15/2021	TBD	TBD	TBD	
	FY 2021 Commercial Driver's License Program Implementation	NOFO	1/28/2021	NOFO URL	32,702,000	3/15/2021	TBD	TBD	TBD	
	FY 2021 High Priority Program – Innovative Technology Deployment	NOFO	1/29/2021	NOFO URL	20,000,000	3/15/2021	TBD	TBD	TBD	
	FY 2022 Motor Carrier Safety Assistance Program	NOFO	5/29/2021	NOFO URL	304,069,500	8/2/2021	TBD	TBD	TBD	
	Enhance Uniformity/Traffic Laws & Countermeasure/State Motor Veh. Admn. Office	NOFO	6/11/2021	NOFO URL	648,109	7/6/2021	TBD	TBD	TBD	Funds 1 Cooperative Agreement.
DOT / PHMSA										
	FY 2021 Supplemental Public Sector Training (SPST) Grant program	NOFO	2/4/2021	NOFO URL	1,300,000	3/15/2021	TBD	TBD	TBD	
	FY 2021 - State Damage Prevention Program Grants	NOFO	2/26/2021	NOFO URL	100,000,000	3/15/2021	TBD	TBD	TBD	
TREASURY										
	CERTS Coronavirus Economic Relief for Transportation Services	NOFO	5/7/2021	NOFO URL	2,000,000,000	TBD	TBD	TBD	TBD	CERTS Guidelines
DOC/EDA										
OSHA										
USDA										

SAN JOAQUIN REGIONAL RAIL COMMISSION
Meeting of September 3, 2021

STAFF REPORT

Item 3.7 **INFORMATION**

Update on Silicon Valley and Tri-Valley Outreach Contracts Activities

Background:

As a public agency with forward thinking plans and initiatives, an important aspect of outreach efforts is 'Stakeholder Engagement'. Local, committed stakeholders are vital to promote the service, improve local presence, increase funding for the service, and activate communities to ride the train. Stakeholder education meetings, presentations, and partnerships will be a key component to the outreach efforts. Stakeholders can be classified as elected officials, agency staff, community leaders, organizations, businesses, and local media.

To accomplish continued engagement of key stakeholders, San Joaquin Regional Rail Commission (SJRRC) has retained a local, embedded grassroots Outreach Consultant/Team for the Silicon Valley and another for the Tri-Valley (Livermore/Dublin/Pleasanton) area. Outreach consultants have been an important component of the SJRRC approach to stakeholder engagement. The Outreach Consultant/Teams will focus their efforts on stakeholder engagement, and strategic partnerships.

There are several key groups, chambers, partnerships, agencies, universities, and organizations within the Silicon Valley, and Tri-Valley areas that are an essential component of awareness and messaging multiplication. The Silicon Valley and Tri-Valley Outreach Consultant/Teams will be leveraging their contacts, SJRRC contacts, and placing emphasis on new stakeholder acquisition. Stakeholders will be engaged to share Altamont Corridor Express (ACE) content, and support ACE service initiatives.

At the June 4, 2021 Board Meeting, SJRRC approved contracts for ACE Silicon Valley Outreach Services (Winter Consulting) and ACE Tri-Valley Outreach Services (California Gold Advocacy Group). SJRRC also requested that staff provide monthly summaries to the Board of the work done under these contracts.

Winter Consulting, led by Corinne Winter, assists SJRRC with Silicon Valley outreach and stakeholder engagement focused in Santa Clara County and the Tri-City (Fremont, Union City, and Newark) part of southern Alameda County. California Gold Advocacy Group, primary consultant Guy Houston performs outreach in Tri-Valley communities of Dublin, Pleasanton and Livermore and surrounding communities in Contra Costa County along the 580-680 Corridor.

Silicon Valley Outreach Summary for Winter Consulting (June – August 15, 2021)

During this time period, there were several meetings with Tri-City staff regarding ACE service planning in Southern Alameda County.

- Meetings with Fremont, Newark, Union City, and MTC staff - 6/10, 7/07
- Newark City Council presentation – 7/8
- Fremont City Council presentation – 7/13
- Union City, City Council presentation – 7/27

Business relationships are a mechanism to increase current and future ridership, and also to create champions for ACE service in the Bay Area. These events and meetings were leveraged to strengthen ACE/SJRRC relationships in the business community.

- Silicon Valley Leadership Group (SVLG) Racial Justice Town Hall - 6/15
- Bay Area Council Transportation Committee - 6/17
- Bay Area Air Quality Management District Spare the Air Committee - 6/22, 8/11
- Silicon Valley Leadership Group (SVLG) Diversity, Equity, and Inclusion Committee - 6/25
- Policy Advisory Council Equity and Access Subcommittee - 7/9
- Policy Advisory Council - 7/14
- Silicon Valley Leadership Group (SVLG) Transportation Committee - 7/14, 8/04
- Meeting with staff from Bay Area Air Quality Management District Spare the Air Committee – 7/26
- Silicon Valley Bicycle Coalition Summit - 8/16

ACE/SJRRC staff and consultants also analyzed what Chamber of Commerce memberships would be most helpful for ACE to leverage. They also determined several events to sponsor to showcase the ACE service to key audiences. These memberships and events provide an opportunity to network with a variety of elected officials, agency staff, and business leaders. They also heighten knowledge about the ACE service in the broader community.

- Hispanic Chamber of Commerce membership
- Silicon Valley Central Chamber of Commerce membership
- Fremont Chamber of Commerce membership
- Silicon Valley Organization membership, website ad, and ribbon banner ad
- Mineta Transportation Institute Annual Dinner sponsorship – June 25
- Silicon Valley Bicycle Coalition Summit - event 7/12 & 7/13

Tri-Valley Outreach Summary for California Gold Advocacy Group (June – August 15, 2021)

- Meet with Tri-Valley City staff regarding ACE service planning in Tri-Valley - Ongoing

- Outreach to Tri-Valley Transportation Council - Ongoing
- Staff meetings with Alameda County Supervisor David Haubert – 6/14, 7/21, 8/6
- Schedule presentations to City Council meetings on ACE initiatives at City Council meetings - Ongoing
- Scheduled, coordinated and staffed Livermore Chamber of Commerce Business Faire – 8/18
- Communicate with Elected Officials in Tri-Valley Cities to solicit support for North Lathrop Transfer Station and Lathrop Way Project - Ongoing
- Staffed and presented Dublin Chamber of Commerce Governmental Affairs Committee Meeting – 7/29
- Staffed Livermore Mayor Bob Woerner State of the City Event – 7/24
- Coordinate ACE sponsorships of community events - Ongoing
- Coordinate marketing proposal of local Tri-Valley newspaper and radio; re-opening media campaign - Ongoing
- Presentation to Innovation Tri-Valley regarding upgrades and scheduling in service – 7/8
- Staff outreach and sponsorship of Tri-Valley REACH Affordable Housing for Disabled – 8/19
- Presentation to Hacienda Business Park Owners Association regarding upgrades and scheduling in service – 8/2
- Scheduled and coordinate presentations to Pleasanton Chamber of Commerce – 7/26

Planning efforts that are geared towards support of increased capacity and marketing/outreach will be accelerated after the Labor Day holiday.

Fiscal Impact:

There is no fiscal impact.

Recommendation:

This is an informational item. There is no action requested.

SAN JOAQUIN REGIONAL RAIL COMMISSION
Meeting of September 3, 2021

STAFF REPORT

Item 4 **ACTION**

Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving an Agreement with Union Pacific Railroad (UPRR) for Preliminary Engineering Services for the Del Paso Siding Project for an Amount Not-To-Exceed $175,000 and Authorizing the Executive Director to Execute Any and All Documents Related to the Project

Background:
The Del Paso Siding Extension project is located in Sacramento County in the City of Sacramento, south of Main Avenue. The purpose of the project is to extend the existing siding south, closer to Arcade Creek, for a future second mainline track as required by Union Pacific Railroad (UPRR) for the implementation of the Valley Rail Program.

UPRR, as the owner/operator of the rail track and facilities that are most affected by the design, must review and approve all engineering plans. UPRR uses standard Preliminary Engineering (PE) Reimbursement agreements to perform local agency project reviews and approvals prior to construction.

The Rail Commission has previously awarded Project Development Services and Rail Engineering Services contracts for this project.

Fiscal Impact:

This project is funded by 2018 Transit and Intercity Rail Capital Program (TIRCP) funds. Funding for the project is identified in the Capital Budget within the ACE Extension Stockton to Natomas line item with a budget of $27,302,000 for this project. The Rail Commission has encumbered $2,266,000 to date for this project in the design phase. This action will commit an additional $175,000, leaving an available fund balance of $24,861,000 for this project.

Funding Type	Type	Project Budget	FY 2021/22 Encumbered	Total for this Contract	Available For Future Phases for Entire Project
2018 TIRCP	State	$27,302,000	$2,266,000	$175,000	$24,861,000
	Total	**$27,302,000**	**$2,266,000**	**$175,000**	**$24,861,000**

Recommendation:

Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving an Agreement with Union Pacific Railroad (UPRR) for Preliminary Engineering Services for the Del Paso Siding Project for an Amount Not-To-Exceed $175,000 and Authorizing the Executive Director to Execute Any and All Documents Related to the Project.

RESOLUTION SJRRC-R-21/22-

RESOLUTION OF THE BOARD OF COMMISSIONERS OF THE SAN JOAQUIN REGIONAL RAIL COMMISSION APPROVING AN AGREEMENT WITH UNION PACIFIC RAILROAD (UPRR) FOR PRELIMINARY ENGINEERING SERVICES FOR THE DEL PASO SIDING PROJECT FOR AN AMOUNT NOT-TO-EXCEED $175,000 AND AUTHORIZING THE EXECUTIVE DIRECTOR TO EXECUTE ANY AND ALL DOCUMENTS RELATED TO THE PROJECT

WHEREAS, the Union Pacific Railroad (UPRR) requires a reimbursement agreement to provide preliminary engineering services for the Del Paso Siding Project; and

WHEREAS, the Rail Commission and UPRR have agreed upon terms and desire to enter into an agreement for these services for the Del Paso Siding Project; and

WHEREAS, this project is funded by 2018 Transit and Intercity Rail Capital Program (TIRCP) funds; and

NOW, THEREFORE, BE IT RESOLVED that the Board of Commissioners of the San Joaquin Regional Rail Commission hereby Approves an Agreement with Union Pacific Railroad (UPRR) for Preliminary Engineering Services for the Del Paso Siding Project for an Amount Not-To-Exceed $175,000 and Authorizing the Executive Director to Execute Any and All Documents Related to the Project

PASSED AND ADOPTED, by the Board of Commissioners this 3rd day of September 2021, by the following vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

ATTEST:

SAN JOAQUIN REGIONAL
RAIL COMMISSION

STACEY MORTENSEN, Secretary

CHRISTINA FUGAZI, Chair

SAN JOAQUIN REGIONAL RAIL COMMISSION
Meeting of September 3, 2021

STAFF REPORT

Item 5 **ACTION**

Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving an Agreement with O'Dell Engineering for Preliminary Engineering Services for the Ripon Station Building and Offsite Improvements Project for an Amount Not-To-Exceed $1,222,952 and Authorizing the Executive Director to Execute Any and All Documents Related to the Project

Background:

On January 8th, the Rail Commission approved a Letter of Understand (LOU) with the City of Ripon to assist the City with the environmental and design phase of their multimodal station. The San Joaquin Council of Governments (SJCOG) awarded $5,905,000 of Federal Transit Administration (FTA) 5307 funding to the City of Ripon to complete the federal environmental clearance and design phases and provide partial construction funding to construct a multimodal station. However, the City of Ripon is not currently an FTA grantee and cannot receive the federal funding. The City had been unable to become an FTA grantee or find another entity to administer the grant on their behalf at a fair and reasonable cost.

The Rail Commission offered to serve as the lead and implementing agency for the Ripon Multimodal Station through this first project phase and will be responsible for submitting a grant to FTA to utilize the funding and selecting a consultant firm to complete the environmental clearance and final design, in partnership with the City of Ripon. The LOU between the Rail Commission and the City of Ripon does not include construction at this time and the terms will be developed as the design phase is completed. This project is complementary but separate from the Rail Commission's project for the trackwork, center passenger platform and pedestrian overcrossing.

The Ripon Station Building will be located on city-owned property located at 142 S. Industrial Avenue near downtown Ripon. The project will consist of a 7,000 sf +/- station building, parking and drop off circulation improvements, perimeter security, right of way improvements, and off and on-site improvements. This project will be designed separately from the Ripon Station Platform project, which is currently in design and partially overlaps the project.

The station building includes a concrete foundation with a wood framed structure, under slab utilities, structural columns and beams, carpentry work, doors, frames and hardware, ornamental iron trusses, heating, ventilation, and air conditioning (HVAC), electrical, and plumbing sprinkler system. The building will include meeting rooms, community room, bathrooms, ticket counter, offices, and waiting area.

The on-site work involved includes underground utility work, grading and paving concrete sidewalks, curbs and driveways, street paving and resurfacing, intersection improvements, signage, and other miscellaneous site furnishings, irrigation, and landscape work.

The off-site work includes pavement, sidewalk, striping, and intersection improvements on Industrial Avenue, Fourth Street, and Stockton Avenue to accommodate multi-modal traffic. The project will include the reconstruction or resurfacing of Fourth Street between Stockton Avenue and Industrial Avenue and Industrial Avenue between Fourth St. and Main St. Pavement improvements will be based on the results of pavement lifecycle studies and City of Ripon standard plans. The scope of the intersection improvements will be determined based on a traffic study, and may require improvements to the intersections of Fourth St./Stockton Ave, Second Street/Stockton Ave/Main Street Overcrossing, and Main Street/Stockton Ave.

Procurement Approach

The Rail Commission issued a Request for Qualifications (RFQ)/Request for Proposals (RFP) for multiple projects which included the Valley Rail Program and the Ripon Station Building and Offsite Improvements.

In response to the RFQ/RFP, three (3) proposals were received by the deadline of June 17, 2021. The proposals received were reviewed for completeness and responsiveness by the Procurement and Contracts Department. All proposals received were deemed responsive and were evaluated by a panel consisting of Rail Commission representatives and other public agency representatives from the City of Lodi, City of Ripon, and San Joaquin County.

Based on scores and ranking, the review panel concluded that oral presentations were not required to select the most responsive and responsible firm.

O'Dell Engineering was deemed the most responsive firm for the Ripon Station Building and Offsite Improvements Project. The estimated contract commencement date is September 3, 2021, with an end date of June 30, 2026. Additional funding and scope may be added to the design phase as final scope of the offsite improvements are defined and will be presented to the Board for approval. Procurement and Contracts Staff reviewed and confirmed the price of the agreement to be fair and reasonable.

Fiscal Impact:

This project has an overall budget of $5.905M and is funded by Federal Transit Administration (FTA) 5307 funds. Funding for this contract is identified in Capital Budget with an amount of $750,000 for the current Fiscal Year (FY) 2021/22 and $472,952 for FY 2022/23. This action will commit $1,222,952, leaving an available fund balance of $4,682,048 for the FTA 5307 funds.

Funding Type	**Type**	**Prior FYs**	**FY 2021/22**	**FY 2022/23**	**Total for this Contract**	**Available For Future Phases for Entire Project**
FTA 5307	Federal	$0	$750,000	$472,952	$1,222,952	$4,682,048
	Total	**$0**	**$750,000**	**$472,952**	**$1,222,952**	**$4,682,048**

A local match was not needed for this grant due to the Transportation Development Credits that are generated from Toll Revenue and provide credits towards the total FTA cost being used.

Recommendation:

Approve a Resolution of the Board of Commissioners of the San Joaquin Regional Rail Commission Approving an Agreement with O'Dell Engineering for Preliminary Engineering Services for the Ripon Station Building and Offsite Improvements Project for an Amount Not-To-Exceed $1,222,952 and Authorizing the Executive Director to Execute Any and All Documents Related to the Project.

RESOLUTION SJRRC-R-21/22-

RESOLUTION OF THE BOARD OF COMMISSIONERS OF THE SAN JOAQUIN REGIONAL RAIL COMMISSION APPROVING AN AGREEMENT WITH O'DELL ENGINEERING FOR PRELIMINARY ENGINEERING SERVICES FOR THE RIPON STATION BUILDING AND OFFSITE IMPROVEMENTS PROJECT FOR AN AMOUNT NOT-TO-EXCEED $1,222,952 AND AUTHORIZING THE EXECUTIVE DIRECTOR TO EXECUTE ANY AND ALL DOCUMENTS RELATED TO THE PROJECT

WHEREAS, on January 8th, the Rail Commission approved a Letter of Understand (LOU) with the City of Ripon to assist the City with the environmental and design phase of their multimodal station; and

WHEREAS, the San Joaquin Council of Governments (SJCOG) awarded $5,905,000 of Federal Transit Administration (FTA) 5307 funding to the City of Ripon to complete the federal environmental clearance and design phases and provide partial construction funding to construct a multimodal station; and

WHEREAS, the City of Ripon is not currently an FTA grantee and cannot receive the federal funding, the Commission will serve as the lead and implementing agency for the Ripon Multimodal Station through this first project phase; and

WHEREAS, the Commission released a Request for Qualifications (RFQ) on May 4, 2021 for issued for multiple projects which included the Valley Rail Program and the Ripon Station Building and Offsite Improvements; and

WHEREAS, proposals received for were reviewed for completeness and responsiveness, evaluated and ranked, as part of the procurement process; and

WHEREAS, based on scores and ranking, O'Dell Engineering was deemed the most responsive firm for the Ripon Station Building and Offsite Improvements Project; and

NOW, THEREFORE, BE IT RESOLVED that the Board of Commissioners of the San Joaquin Regional Rail Commission hereby Approves an Agreement with O'Dell Engineering for Preliminary Engineering Services for the Ripon Station Building and Offsite Improvements Project for an Amount Not-To-Exceed $1,222,952 and Authorizing the Executive Director to Execute Any and All Documents Related to the Project.

PASSED AND ADOPTED, by the Board of Commissioners this 3rd day of September 2021, by the following vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

ATTEST:

SAN JOAQUIN REGIONAL RAIL COMMISSION

STACEY MORTENSEN, Secretary

CHRISTINA FUGAZI, Chair

SAN JOAQUIN REGIONAL RAIL COMMISSION
Meeting of September 3, 2021

STAFF REPORT

Item 6 **INFORMATION**

Federal Advocacy Program Update

Background:
The Board of Directors will be presented an overview of the Commission's Washington Advocacy program and a forward-looking outlook on the remainder of the 117th Congress.

The presentation will include:
- An overview of the Commission's Washington team.
- An overview of the Commission's recent advocacy successes including:
    - Two testimony appearances before the House of Representative's Committee on Transportation & Infrastructure in 2018 and 2019.
    - The 2020 USDOT $20 million BUILD grant award for the Stockton Diamond Grade Separation Project.
- An update on the Commission's legislative activities to date, discretionary grant applications, and recent Congressional actions.
- An update on the Commission's Member Designated Spending and Community Funding Project submissions.
    - The Commission had the following projects submitted:

Project Name	Funding Amount	Member of Congress	Pathway	Status
North Sacramento Station	$4,500,000	Rep. Doris Matsui	Transportation	Submitted
South Sacramento Siding	$4,000,000	Rep. Doris Matsui	Transportation	Submitted
Pleasant Grove Siding	$3,000,000	Rep. John Garamendi	Transportation	Submitted
Agnew Siding	**$6,610,000**	**Rep. Ro Khanna**	**Transportation**	**Passed House**
North Lathrop Station	$4,000,000	Rep. Jerry McNerney	Transportation	Submitted
RMF Expansion	**$6,715,000**	**Rep. Jerry McNerney**	**Transportation**	**Passed House**
Manteca Station	**$4,485,000**	**Rep. Josh Harder**	**Appropriations**	**Passed House**
Zero Emission Loco	**$1,500,000**	**Rep. Jerry McNerney**	**Appropriations**	**Passed House**
North Lathrop Station	**$1,500,000**	**Rep. Jerry McNerney**	**Appropriations**	**Passed House**
North Lathrop Station	$4,000,000	Sen. Alex Padilla	Appropriations	Submitted

- An update on the Congressional infrastructure bill, "earmarks", yearly spending packages, discretionary grants, and where things hand heading into 2022.

Fiscal Impact:

There is no fiscal impact.

Recommendation:

This is an informational item. There is no action requested.